Keystone Wealth

Please tell us about you

Your details

	Client 1	Client 2
Title	☐ Dr ☐ Mr ☐ Mrs ☐ Ms ☐ Miss	☐ Dr ☐ Mr ☐ Mrs ☐ Ms ☐ Miss
First Name(s)		
Middle Name(s)		
Surnames		
Preferred Name(s)		
Date of Birth		
Gender	☐ Male ☐ Female	☐ Male ☐ Female
Health	☐ Excellent ☐ Good ☐ Poor	☐ Excellent ☐ Good ☐ Poor
Marital Status		
TFN		
Centrelink Benefit	☐ No ☐ Yes: Provide	☐ No ☐ Yes: Provide
Centrelink Relationship Number		
Healthcare card details		

Contact details

	Client 1	Client 2
Telephone (Work)		
Telephone (Home)		
Mobile number		
Email address		
Residential address		
Postal address		

Health

	Client 1	Client 2
Do you have any health concerns you need to tell us about?		

Why are you seeking advice?

Details

Please tell us about your goals

Goals
Family
Travel
Retirement
Investments
Career
Risk Management

Family and relationships

Name	Date of birth	Relationship	Financially dependent	Phone / Email
			☐ Yes ☐ No	
			☐ Yes ☐ No	
			☐ Yes ☐ No	
			☐ Yes ☐ No	
			☐ Yes ☐ No	
			☐ Yes ☐ No	

Employment

	Client 1	Client 2
Occupation		
Name of employer		
Job title		
Employment status		

Your entities

	Entity Name
Self-managed Super Fund	
Trust	
Company	
Partnership	

Other advisers

	Client 1	Client 2
Accountant		
Mortgage broker		
Solicitor		
Other		

Income and expenses

Income

Income summary	Client 1	Client 2
Salary / wages	$ pa	$ pa
Reportable fringe benefits (grossed up)	$ pa	$ pa
Work allowances	$ pa	$ pa
Commissions / bonus	$ pa	$ pa
Centrelink / DVA payments	$ pa	$ pa
Overseas pension	$ pa	$ pa
Maintenance payments	$ pa	$ pa
Superannuation pension	$ pa	$ pa
Interest / fixed interest payments	$ pa	$ pa
Dividends / managed fund distributions	$ pa	$ pa
Rental income	$ pa	$ pa
Other taxable income	$ pa	$ pa
Non-taxable income	$ pa	$ pa
Total	$ pa	$ pa
Is your income likely to change in 12 months?	☐ Yes — $ pa ☐ No	☐ Yes — $ pa ☐ No

Expenses

Expense	Owner	Amount
If you are unsure of your expenses how much surplus do you believe you have?		$
General living costs		$ pa
Mortgage / rent		$ pa
Utilities		$ pa
Phone / internet		$ pa
Food and alcohol		$ pa
Petrol, tolls, and fares		$ pa
Holiday		$ pa
Insurances (Private Health, Motor Vehicle home/contents)		$ pa
Other		$ pa

Assets, liabilities, and investments

Lifestyle assets

Description	Owner	Current value	Debt owing?
Primary residence	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Contents	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Motor vehicles	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Holiday home	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Other:	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $

Investment assets

Description	Owner	Current value	Debt owing?
Cash on hand	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Term deposit	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Investment property 1	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Investment property 2	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Investment property 3	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Managed fund portfolio	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Shares portfolio	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Superannuation	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $
Other:	☐ C1 ☐ C2 ☐ Joint	$	☐ Yes ☐ No $

Liabilities

Description	Lender	Borrower	Balance	Interest rate	Principal or interest only	Repayment ($)
Residential mortgage		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Investment mortage 1		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Investment mortage 2		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Investment mortage 3		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Personal loan		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Credit cards		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Lease / HP		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Line of credit		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Margin loan		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$
Other:		☐ C1 ☐ C2 ☐ Joint	$	%	☐ PI ☐ IO	$

Estate planning

	Client 1	Client 2
Existing legal will		
Date of will		
Will last reviewed		
Location		
Testamentary trust	☐ Yes ☐ No	☐ Yes ☐ No
Power of Attorney	☐ Yes ☐ No	☐ Yes ☐ No

Disclosures and privacy

I/We confirm that;

Privacy	
Keystone Wealth will collect personal and possibly sensitive information from you for the purpose of identifying and reviewing your financial and lifestyle objectives to enable the delivery of financial services and advice. The information you provide, and any recommendations made will be kept on file. You are entitled to request reasonable access to any information held about you. It is also important to understand that we reserve the right to appoint another authorised representative from time to time. In these circumstances, we will write to you advising you of the change.	☐ C1 ☐ C2

Information Provided is Accurate	
Keystone Wealth can hold my personal information; provided in this document is complete and accurate to the best of your knowledge. It is your responsibility to provide accurate and appropriate information to allow the financial adviser to have reasonable grounds for making recommendations. We accept no liability for any advice given on the basis of inaccurate or incomplete information supplied by you.	☐ C1 ☐ C2

Provision of Health Information	
Keystone Wealth may also need to collect and retain the following types of sensitive information; • Health and medical information (for example, medical checks or consultation reports); • Lifestyle and pastime information; • Information regarding your physical	☐ C1 ☐ C2

Financial Services Guide	
We have been provided the FPAA Financial Services Guide (FSG) version dated 4th January 2021. If you would like an additional copy of the current FSG please ask your Adviser. You can also access the FSG on our website www.keystonewealth.com.au.	☐ C1 ☐ C2

TFN Declaration	
Keystone Wealth can: • Retain and store the above number(s) for the period that the financial adviser is acting on my behalf, and • Quote my tax file number information to the Australian Taxation Office when necessary and to investment bodies when making investments on my behalf.	☐ C1 ☐ C2

Signature

Client 1	Client 2

You can print and sign the document or sign digitally by clicking in the signature box above and select sign. If you don't have a digital ID already select "A new digital ID I want to create now". Then select "Windows Certificate Store". Complete your name, email address and country and click finish. Finally click sign which will sign the document and save it ready for emailing to us.